



# SERVICES & FEES

COMPANY	AFFILIATION FEES %	E&O PREMIUM \$ <sup>1</sup>	STD. REP DEDUCT. \$	MAX. IND. COV. \$000s <sup>1</sup>	TICKET CHARGE \$	B-SHARE LIMIT \$	B-D EQUITY	FORGIV. LOANS	TRUST SVCS.
AIG Financial Advisors/Am. Gen. Sec.		2			0-40	250,000	●	●	●
American Portfolio Financial Services	475	2100/4100	5,000	2,000	5-50	—	●	●	●
Ameritas Investment Corp.	0-2,500	2,100	5,000	3,000	0-30	50,000	●	●	●
AXA Advisors	—	—	—	—	13-50	50,000	●	●	●
Cadaret, Grant & Co.	300	1,543	5,000	2,000	14.75	100,000	●	●	●
Cambridge Invest. Research		2,250	7,500	1,000	0-22	50,000	●	●	●
Capital Analysts	0	1,500					●	●	●
Centaurus Financial	—	1,860	5,000	1,000	5-50		●	●	●
CFG/H. Beck	240	1,692	5,000	3,000	10-25	250,000	●	●	●
Commonwealth Fin. Network	0	1,950	10,000	10,000	0-19.50	99,999	●	●	●
First Allied Securities	0	2,500	22,500	2,000	24-35	100,000	●	●	●
FSC Sec. Corp./Advan. Capital Corp.		2			0-40	250,000	●	●	●
Geneos Wealth Management		2,200	5,000	1,000	15-20	50,000	●	●	●
Genworth Financial Securities Corp.	720-1000	1,056	5,000	1,000		50,000	●	●	●
GunnAllen Financial	—	2,730	7,500		20-40	150,000	●	●	●
H.D. Vest Financial Services	650-1,380	1200-1450	1000-5000	1,000	0-15+	100,000	●	●	●
IFMG					0.75-75				●
ING Financial Partners	900	2,100	10,000-30,000	3,000/20,000 <sup>6</sup>	0-50	50,000	●	●	●
InterSecurities		2,250	5,000	3,000	0-50	100,000	●	●	●
INVEST Financial Corp.	250/month	3	5,000/claim	15,000	0-35	50,000	●	●	●
Investacorp		1,950	5,000	1,000	0-24.50		●	●	●
Investors Capital Corp.	0	2,250	5,000	1,000			●	●	●
Lincoln Investment Planning	480	407-1617	5,000	2,000/3,000 <sup>6</sup>	0	99,999	●	●	●
LPL Financial	600-1,500	2,100	5,000	20,000	0-40	50,000	●	●	●
M Holdings Securities	0	2,500	5,000	7,000	4	—	●	●	●
Multi-Financial Securities Corp.	1,200	1,975	10,000-30,000	3,000/20,000 <sup>6</sup>	0-50	50,000	●	●	●
Mutual Service Corp.	0	2,750	5,000	2,000		50,000	●	●	●
National Planning Corp.	3,000	3	5,000	1,000	15-35	50,000	●	●	●
Next Financial Group	210	1,900	5,000	2,000	14-40	100,000	●	●	●
NFP Securities	—	3,235	5,000	2,000/15,000 <sup>6</sup>	8-55	50,000	●	●	●
Northwestern Mutual	—	1,155	1,000	10,000	0-30	49,999	●	●	●
NYLife Securities	0					50,000	●	●	●
Park Avenue Securities	900	2,038	2,500-5,000	4,000/5,000 <sup>6</sup>	0-21	50,000	●	●	●
Princor Financial Services	0	1,059	500	3,000	0-30	100,000	●	●	●
ProEquities	1,440	1,080	2,500	2,000	Ave 18	100,000	●	●	●
QA3 Financial Corp.	1,500	1,725	5,000	1,000/3,000 <sup>6</sup>	9.99-35		●	●	●
Raymond James Financial Services	3,000	2,100 <sup>4</sup>	5,000	1,000	0-49	50,000	●	●	●
Royal Alliance Associates		2			0-25	250,000	●	●	●
Securian Financial Services	0	1735-2141	500-2000	3,000	13-50	50,000	●	●	●
Securities America	4,200	4	15,000	10,000	0-35	100,000	●	●	●
Securities Service Network	4,200	2,000	10,000	1,000	0-35	100,000	●	●	●
Sigma Financial Corp.	900	2,280	10,000	1,000	15-27	45,000	●	●	●
Signator Investors	—	5	0-1,000	3,000	8-20	100,000	●	●	●
SII Investments	900	2,700	5,000	1,000	15-20	50,000	●	●	●
UVEST	0	2,100	5,000	20,000	0-23	50,000	●	●	●
VSR Financial Services	0	2,400	5,000	1,000	4-30	250,000	●	●	●
Wachovia Securities Financial Network	1,500-3,900	3,600	40,000	1,000	27	50,000	●	●	●
Waddell & Reed	900	720	5,000	1,000		100,000	●	●	●
Waterstone Financial Group	0	1,700	5,000	3,000	0-50		●	●	●
Woodbury Financial Services	0	796	500	2,000	0-40	100,000	●	●	●

Yes ● No ● <sup>1</sup> Maximum individual coverage <sup>2</sup> Included in annual fee <sup>3</sup> Included in monthly fee <sup>4</sup> Included in affiliation fee <sup>5</sup> \$921 for \$1 million limit <sup>6</sup> Per claim/aggregate — Does not apply